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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

: Docket 0074-450511GWW

ASHWORTH, William Frederick

U.S. Appln. No. 09/936,530

International Appln. No. PCT/NZ00/00029

U.S. Filing Date: Not Assigned Yet

International Filing Date: March 16, 2000

For: **IMPROVEMENTS RELATING TO
HALFTONE PATTERNS**

PRELIMINARY AMENDMENT

As a preliminary matter, please amend the above-identified application as follows:

In the Claims:

Please cancel Claim 21 and add the following new claims.

22. A halftone image produced by the method set forth in Claim 8.
23. A halftone image produced by the method set forth in Claim 18.

REMARKS

No new matter has been introduced into this application by reason of the claim amendments presented herewith. The only purpose of this Preliminary Amendment is to correct the form of the claims to avoid the prohibition in 37 CFR 1.75(c) against a multiple dependent claim serving as a basis for another multiple dependent claim. There is no intention to limit the scope of the claims either expressed or implied. A marked-up copy of the amended claims is not being supplied because the amendment involves only

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the cancellation and addition of claims.

It is respectfully requested that the above amendments be entered prior to the first official action on this application.

Respectfully submitted,

DANN, DORFMAN, HERRELL AND SKILLMAN
A Professional Corporation
Attorneys for Applicant(a)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

: Docket 0074-450511

WILLIAM FREDERICK ASHWORTH

: Confirmation No. 7087

U.S. Appln. No. 09/936,530

Filed: November 26, 2001

For: **HALFTONE PATTERNS**

AV 2626

Com. Scott
Rogers

PK1-4D42

RECEIVED

OCT 08 2003

Technology Center 2600

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this Correspondence is being deposited on **October 3, 2003** with the United States Postal Service as first-class mail in an envelope properly addressed to COMMISSIONER OF PATENTS AND TRADEMARKS, Washington, DC 20231.

October 3, 2003

Date of Certificate

Frances L. McMenamin
Frances L. McMenamin

Commissioner for Patents
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

In accordance with the provisions of 37 C.F.R. §1.56, Applicant hereby submits copies of the documents identified in the attached PTO Form-1449.

Please note that this application is the U.S. national phase of International Patent Application No. PCT/NZ00/00029, filed March 16, 2000. An International Search Report was established for this international application. A copy of the International Search Report and copies of the references cited therein were received at the U.S. Patent and Trademark Office. Therefore, copies of those references are not being submitted with this information disclosure statement.

Applicant does not presently have copies of the article by K. Haller, the Hell GmbH dot shapes, the articles by C. Eliezer, and the article entitled "Desktop to Press"



In re the Application of **WILLIAM FREDERICK ASHWORTH**
U.S. Appln. No. 09/936,530
Docket No. 0074-450511

that are listed in the attached PTO-1449. However, those documents were cited during the examination of the Applicant's issued patents US 6,072,592 and 5,680,222. Copies of those documents may be available in the PTO file wrappers for those patents.

Applicant respectfully requests full and proper consideration of the information being disclosed herein during examination of the application, and that the listed information be printed on any patent which issues therefrom.

Although this information is being submitted more than three months after the filing date of the present application, no action on the merits of the present application has been received. Therefore, it is believed by the undersigned attorney that the provisions of 37 CFR §1.97(b) apply to the submission of this statement. In the event it is determined by the Commissioner that 37 CFR §1.97(b) is not applicable, in order for the Examiner to consider the enclosed documents the Commissioner is hereby authorized to charge the fee specified in 37 CFR §1.17(p) to Deposit Account 04-1406 of the undersigned attorneys. A duplicate copy of this paper is enclosed.

Respectfully submitted,
DANN, DORFMAN, HERRELL AND SKILLMAN
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Enclosures: Form PTO-1449; and
Copies of listed documents

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY., DOCKET NO. 0074-450511	APPL. NO. 09/936,530
INFORMATION DISCLOSURE STATEMENT BY APPLICANT(S)		APPLICANT ASHWORTH	
Several sheets if necessary		US FILING DATE 11/26/2001	GROUP 2673

PATENT DOCUMENTS

Initial	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	3 7 2 5 5 7 4	04/1973	GAST			
	4 0 8 4 1 8 3	04/1978	KELLER ET AL.			
	4 1 4 9 1 8 3	04/1979	PELLAR ET AL.			
	4 1 8 5 3 0 4	01/1980	HOLLADAY			
	4 1 9 6 4 5 1	04/1980	PELLAR			
	4 6 8 0 6 4 5	07/1987	DISPOTO ET AL.			
	4 8 9 4 7 2 6	01/1990	STEINHARDT ET AL.			
	4 9 1 6 5 4 5	04/1990	GRANGER			
	4 9 1 8 6 2 2	04/1990	GRANGER ET AL.			
	5 2 3 3 4 4 1	08/1993	HAMILTON			
	5 2 3 9 3 9 1	08/1993	HAMILTON			
	5 2 5 3 0 8 4	10/1993	RYLANDER			
	5 6 8 0 2 2 2	10/1997	ASHWORTH			
	6 0 7 2 5 9 2	06/2000	ASHWORTH			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
3 7 0 2 7 1	05/1990	EP			
4 1 8 0 5 2	03/1991	EP			
2 0 2 5 6 0 9	12/1970	DE			
2 9 1 7 2 4 2	11/1980	DE			
90 0 6 0 3 4	05/1990	WIPO			
90 1 0 9 9 1	09/1990	WIPO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

K. Haller, Fogra Research Report 6.029 (1982) (including excerpts translated into English of pp. 3, 9, 14, 18, 19, 20, 25, 26, and 27)
Hell GmbH Dot Shapes, 6 pages (pre-1991)
C. Eliezer, "Color Screening: How to Judge the Results", <i>The Seybold Report on Publishing Systems</i> , vol. 21, No. 11, pp. 3-11 (Feb. 29, 1992)
C. Eliezer, "Color Screening Technology: A Tutorial on the Basic Issues", <i>The Seybold Report on Desktop Publishing</i> , vol. 6, No. 2, pp. 3-25 (Oct. 1991)
Desktop to Press, No. 9, 13 pages (Feb. 1992)
Gamble, C.W., "Modern Illustration Processes", Sir Isaac Pitman & Sons, Ltd., London 1947, Fig. 26 at page 148

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.